

98

(19)



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) Publication number:

**0 263 640 B1**

(12)

## EUROPEAN PATENT SPECIFICATION

(45) Date of publication of patent specification: **07.01.93** (51) Int. Cl.<sup>5</sup>: **G02B 6/12**

(21) Application number: **87308660.7**

(22) Date of filing: **30.09.87**

(54) Interlayer directional coupling in antiresonant reflecting optical waveguides.

(30) Priority: **08.10.86 US 916498**

(43) Date of publication of application:  
**13.04.88 Bulletin 88/15**

(45) Publication of the grant of the patent:  
**07.01.93 Bulletin 93/01**

(84) Designated Contracting States:  
**BE DE ES FR GB IT NL SE**

(56) References cited:  
**EP-A- 0 228 886**

**ELECTRONIC LETTERS** volume 22, no. 17,  
August 1986, pages 892-893; Y. KOKUBUN et  
al.: "Low-loss antiresonant reflecting optical  
waveguide on Si substrate in visible-  
wavelength region"

**APPLIED PHYSICS LETTERS** volume 49, no.  
1, 7th July 1986, pages 13-15; M.A. DUGAY et  
al.: "Antiresonant reflecting optical  
waveguides in SiO<sub>2</sub>-Si multilayer structures"

(73) Proprietor: **AMERICAN TELEPHONE AND  
TELEGRAPH COMPANY**  
**550 Madison Avenue**  
**New York, NY 10022(US)**

(72) Inventor: **Koch, Thomas Lawson**  
**12 Morgan Road**  
**Middletown New Jersey 07748(US)**

(74) Representative: **Watts, Christopher Malcolm**  
**Kelway, Dr. et al**  
**AT&T (UK) Ltd. 5, Mornington Road**  
**Woodford Green Essex, IG8 0TU(GB)**

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid (Art. 99(1) European patent convention).

**EP 0 263 640 B1**

## Description

This invention relates to optical waveguides and more particularly to optical waveguides which use antiresonant reflection to achieve confinement in a waveguiding layer.

### Background of the Invention

Considerable work has been done in the prior art in an effort to couple semiconductor lasers into monolithically integrated passive waveguide regions. This is desirable in the fabrication of distributed Bragg reflector lasers and long cavity lasers of the type used to achieve narrow linewidth. In addition the whole idea of integrated optics wherein active components such as lasers are integrated with modulators, amplifiers and detectors relies on achieving some mechanism for guiding the light from one device to the next without excessive losses.

One waveguide of the prior art which has been developed that can be easily fabricated on integrated semiconductor devices is known as the antiresonant reflecting optical waveguide (ARROW). See the article entitled "Anti-Resonant Reflecting Optical Waveguides in SiO<sub>2</sub>-Si Multi-Layer Structures", by M.A. Duguay et al., Applied Physics Letters, Vol. 49, No. 1, pp.13-15, 1986. This type of waveguide achieves confinement in a waveguiding layer by the fact that the layers below this guiding layer form a series of Fabry-Perot resonators all in antiresonance. This type of waveguide has been fabricated both in dielectrics deposited on silicon substrates and in III-V semiconductor materials.

One method of coupling passive waveguides such as the ARROW structure to lasers and other types of active optical devices is to etch the layers of the optical device and re-grow those layers which are necessary in order to provide the waveguide, or vice-versa. The same layers cannot be used for both active devices and waveguiding since active devices usually require a layer with gain or absorption and this same active layer when unpumped produces high losses at the wavelength of interest. Since the passive and active sections of the integrated device have different layer structures they must be buttcoupled in order to form an entire circuit or system. This form of coupling is difficult since it requires a careful vertical registration of the re-grown layers. This is especially true since efficient coupling requires the passive guide to have the same mode size as the mode size as the mode in the active device and active devices often have optimum performance with thin layers and small modes. This also makes coupling the passive guide to external elements rather difficult.

### Summary of the Invention

According to the invention there is provided a device as set out in claim 1.

We have recognised and made use of the fact that the high-index layer adjacent to the waveguiding layer in an ARROW structure can itself act as a waveguiding layer to which light can be diffractively coupled.

The high-index layer in the vicinity of the coupling region may form an active region of a device, such as a photodetector or a laser.

In accordance with a first embodiment of the invention the grating is developed in the reflector layer of an ARROW structure by two intersecting beams of coherent light which also serve as a pump to achieve lasing action. Wavelength selectivity is provided by the fact that the optical cavity needed for lasing action uses the grating to couple the light into a significantly longer guiding layer immediately adjacent to the active layer being pumped.

In accordance with a second embodiment of the present invention, a wavelength demultiplexing photodetector is provided in an ARROW structure by fabricating gratings having different pitches in the upper reflector layers of the structure at predetermined locations along the length of an antiresonant reflecting optical waveguide. The different pitches of the gratings select different wavelengths to be coupled out of the guiding layer into the absorptive reflector layer immediately beneath the guiding layer. A proper placement of electrical terminals immediately over the grating structures permits the development of electrical signals due to the photocurrent resulting from the absorption of the individual wavelengths.

### Brief Description of the Drawing

FIG. 1 is a laser constructed in accordance with the present invention;

FIG. 2 is a wavelength demultiplexing photodetector constructed in accordance with the present invention;

FIG. 3 is a diagram useful in explaining the operation of antiresonant reflecting optical waveguides of the prior art; and  
FIGS. 4 and 5 are diagrams useful in explaining the operation of the present invention.

## 5 Detailed Description

Diagrams useful in explaining the operation of Anti-Resonant Reflecting Optical Waveguides (ARROWs) present in the prior art are shown in FIG. 3. These guides, first demonstrated in SiO<sub>2</sub>/Si multi-layer structure, have recently been fabricated in III-V compound semiconductors with lateral confinement and  
10 losses below 1 cm<sup>-1</sup>, and have demonstrated a potential for substantially lower loss. In the ARROW geometry, the ARROW mode (which will usually be the "passive" mode 2 in what follows) is confined at the upper surface 301 of layer 302 by conventional total internal reflection, but on the other substrate side by phase reflections from the interfaces of two higher index reflector layers 303 and 305. These layers 303 and 305 and the intervening layer 304 form a series of Fabry-Perot resonators all in anti-resonance. Accordingly,  
15 the reflection provided is spectrally broad and tolerant of variations introduced by fabrication.

The approximate optimum thickness of the reflector layers 303, 304 and 305 for a given core thickness d<sub>1</sub> of layer 302 are as follows:

$$20 \quad t_{\text{opt}} \approx \frac{\lambda}{4n_2} (2N+1) \left[ 1 - \frac{n_1^2}{n_2^2} + \frac{\lambda^2}{4n_2^2 d_1^2} \right]^{-1/2} \quad N=0,1,2... \quad (1)$$

25 and

$$30 \quad d_{2\text{opt}} \approx \frac{d_1}{2} (2M+1) \quad M=0,1,2,... \quad (2)$$

where t<sub>opt</sub> is the optimum thickness of layers 303 and 305,

35  $d_{2\text{opt}}$

is the optimum thickness of layer 304, n<sub>1</sub> is the index of refraction of layers 302 and 304 and substrate 306, n<sub>2</sub> is the index of refraction of layers 303 and 305, and λ is the wavelength of light being guided.

The ARROW mode, whose amplitude is illustrated by curve 307 in FIG. 3, is actually a leaky mode, and  
40 its energy is confined in layer 302, in the region with the lowest index of refraction (apart from the upper air interface). This leads to an extraordinarily low effective index. A good approximation to the fundamental ARROW mode effective index in a properly designed structure as outlined above is given by

$$45 \quad n_{\text{eff}} \approx n_1 \left[ 1 - \frac{\lambda^2}{4n_1^2 d_1^2} \right]^{1/2} \quad (3)$$

50 The present invention is based on the fact that in the ARROW geometry the high index reflector layer 403 of FIG. 4 can support a conventional guided mode with a correspondingly high effective index as indicated by curve 408 in FIG. 4. A very large difference in effective indices exists between the passive ARROW mode, confined almost totally in the lowest index layer 402, and the conventionally guided mode, confined mostly in the highest index layer 403. Despite this, these two modes are also confined in  
55 immediately adjacent layers and are thus amenable to grating coupling of the type provided in accordance with the present invention. Another important feature is that the passive ARROW mode typically has exceedingly small confinement (< 10<sup>-3</sup>) in the active reflector layer 403 and can thus propagate in the guiding layer 402 in the absence of a grating, with negligible loss even when large losses are present in the

active reflector layer 403. The passive ARROW mode in layer 402 can then be specialized for low loss and large mode size for coupling to external elements, while the active mode in layer 403 can be optimized for overlap with the active reflector layer. In accordance with the present invention, coupling is achieved at predetermined locations along the length of the guiding layer with a grating in an all planar technology uniform structure. The two cases of co-directional and contra-directional coupling are shown in FIGS. 4 and 5 respectively. The utility of a grating lies in its ability to provide coupling between two transverse modes of a system which have very different phase velocities or propagation constants. Another desirable property which is exploited in the present invention is that the two modes in question may have very different spatial properties.

For a quantitative discussion, consider a transverse mode 1 with propagation constant  $\beta_1$  and a transverse mode 2 with propagation constant  $\beta_2$ . Assume that mode 1 and mode 2 mutually overlap in a spatial region which contains a periodic perturbation of the real or imaginary index of refraction with a period  $\Lambda_g$ . It is a standard result of coupled mode theory that coupling between the modes is obtained provided the following "phase matching" condition is satisfied:

$$\beta_1 = \beta_2 + K_g \quad (4)$$

where for simplicity  $|\beta_1| > |\beta_2|$  is assumed. Here  $K_g = 2\pi/\Lambda_g$  is the fundamental wavevector of the grating, but since coupling can result from the higher Fourier components of a periodic perturbation,  $K_g$  is considered to correspond to the relevant Fourier component of interest. Following standard nomenclature, cases where  $\beta_1/|\beta_1| = \beta_2/|\beta_2|$  are referred to as "co-directional" coupling, and cases where  $\beta_1/|\beta_1| = -\beta_2/|\beta_2|$  are referred to as "contra-directional" coupling. In the discussion hereinafter mode 1 is the "active" mode in the upper reflector layer and mode 2 is the "passive" mode in the waveguiding layer.

The mode conversion will also be wavelength selective due to the grating. In particular, coupling occurs in spectral regions where Eq.(4) is satisfied, which requires

$$\Lambda_g = \lambda / (n_{\text{eff}1} \pm n_{\text{eff}2}) \quad (5)$$

where the - sign is for co-directional coupling and the + sign is for contra-directional coupling. The value for  $\Lambda_g$  may be modified by the fact that coupling can result from higher Fourier components of the grating. For example, if second order components are considered,  $\Lambda_g$  would be replaced by  $\Lambda_g/2$ . The spectral width and degree of coupling depend in detail on 1) the grating interaction length, 2) the coupling strength of the grating, 3) whether the coupling is co- or contra-directional, and 4) whether either mode sees appreciable gain or loss. From Eq.(5) it is evident that one would like to achieve a situation where  $n_{\text{eff}1}$  and  $n_{\text{eff}2}$  are substantially different. In the co-directional case, this is desirable since one often wants a high spectral selectivity (and hence a large number of grating lines) in a reasonable interaction length. For contra-directional coupling, one usually does not want reflective coupling of mode 1 or mode 2 onto themselves, which occurs respectively at  $\lambda = \Lambda_g / (2 \cdot n_{\text{eff}1})$  and  $\lambda = \Lambda_g / (2 \cdot n_{\text{eff}2})$ , to be within the spectral band of interest. More generally, in the present invention one wants total lack of coupling in the absence of the grating. This usually demands as sizable a mismatch between the two modes as possible.

In the embodiments to be discussed hereinafter, only the mode energy present in the upper reflector layer is considered; the lower reflector layer is essentially ignored from the standpoint of cross-coupling. In most ARROW structures, this is possible since the two reflector layers are far apart. In any embodiment where cross-coupling between the reflector layers becomes a problem, it can be circumvented by making the lower reflector layer from a slightly different material composition and hence giving it a slightly different index of refraction.

The principal requirement on the vertical placement of the grating is that both the active and passive modes must mutually overlap within the grating. In FIGS. 4 and 5, the grating is just shown conceptually. As shown in FIGS.4 and 5 by curves 407 and 507 respectively, each one of which represents the relative magnitude of light intensity in a direction perpendicular to the direction of propagation, the ARROW mode has a node at the upper surface of the high index reflector layer 403 or 503, and an anti-node at the lower surface. The reflector layer conventional mode indicated by curves 408 and 508 has evanescently decaying wings into both the waveguiding layer (402 or 502) and the space between the reflector layers. The grating could thus be any form of volume grating, or suitable set of corrugated layers, in the lower portion of the ARROW waveguiding layer. Another option which is easily implemented is a corrugation along the lower surface of the upper reflector layer. The active mode has a high amplitude here, and the ARROW mode, while smaller here, does have an anti-node for appreciable amplitude. Another possibility is a volume grating in the reflector layer itself. The best choice depends on the detailed requirements of the device

under consideration. In general, the elements shown as gratings in FIGS. 4 and 5 are simply periodic variations in the index of refraction along the direction of propagation at a level of the device where the ARROW mode and the reflector layer mode each have something other than zero light intensity.

An embodiment of the present invention wherein an optically induced grating is used to provide co-directional coupling between the guiding layer and reflecting layer of an ARROW structure is shown in FIG. 1. The ARROW structure is grown on an InP substrate 106 by using hydride vapor phase epitaxy to grow a lower reflector layer 105 of InGaAsP, a separation layer 104 of InP, an upper reflector layer 103 of InGaAsP, and an ARROW waveguiding layer 102 of InP. Both reflector layers 103 and 105 are fabricated from an InGaAsP quaternary material having a luminescent peak at 1.35  $\mu\text{m}$ .

The reactor used in the hydride vapor phase epitaxy system is a three barrel system designed for rapid switching to allow complex multi-layer structures with good interface quality. Flows of the growth constituents in a hydrogen carrier are first equilibrated and growth is initiated or terminated by mechanically inserting or withdrawing the sample from the proper barrel. Hydrogen chloride is passed over indium and gallium metals which are maintained at 850 degrees C. The sample is heated to about 725 degrees C for epitaxial growth, and the group V elements are provided by arsine and phosphine.

The grown structure was optically pumped at 667 Hz repetition rate with pulses of about 100 psec duration and 1.06  $\mu\text{m}$  wavelength. These pulses were electro-optically selected from the output pulse train of a Q-switched, mode-locked Nd:YAG laser 114. The InP waveguiding layer 102 is transparent to the 1.06  $\mu\text{m}$  light, but the pulses are absorbed in the 1.35  $\mu\text{m}$  reflector layers 103 and 105.

In addition to optically pumping the gain medium for lasing, the 1.06  $\mu\text{m}$  pump pulses are used to provide a grating 120 for vertical directional coupling. This is accomplished by pumping with two interfering 106  $\mu\text{m}$  beams which are incident on the wafer surface at angles of  $+\theta$  and  $-\theta$  with respect to the surface normal. This produces an interference pattern with a period given by

$$\Lambda_g = \lambda_{\text{pump}} / (2 \sin \theta) \quad (6)$$

Snell's law guarantees that this period is preserved in the energy deposited into the active reflector layers. This is capable of producing the coarse grating required to achieve co-directional coupling when the angle  $\theta$  produces the period required by Eq.(5). The grating so produced by the photogenerated carriers has a combination of both real and imaginary components. The linewidth enhancement factor  $\alpha$  of long wavelength semiconductor lasers, which provides the proportionality between the differential change in real and imaginary indices with respect to carrier density, has a value of about -6. While this is only a measure for small changes in carrier density under bias conditions typical to semiconductor lasers, it provides a useful estimate for the relative values of real and imaginary components. The grating thus formed is predominantly real. The angle  $\theta$  from the surface normal for each beam was in the 2 to 3 degree range, so about 70 percent of the incident 1.06  $\mu\text{m}$  pump is transmitted into the wafer.

A scanning electron micrograph of the layer structure revealed that the quaternary reflector layers 103 and 105 were about 0.33  $\mu\text{m}$  thick while the InP waveguiding layer 102 is 6.9  $\mu\text{m}$  thick with a 3.3  $\mu\text{m}$  thick InP layer 104 between the reflector layers. By extrapolating from InGaAs absorption data, it can be estimated that approximately 35 percent of the light which reaches the reflecting layers will be absorbed at 1.06  $\mu\text{m}$  for these thicknesses. This leads to a net deposition of 25 percent of the 1.06  $\mu\text{m}$  pump incident on the sample surface into the upper reflector layer 103 and 16 percent into the lower reflector layer 105.

In the experiment conducted the pump pulses were coupled through an adjustable aperture 110 to limit the grating and gain region to only a small portion (about 500  $\mu\text{m}$ ) of the 6 mm long sample adjacent to an end facet 111 as shown in FIG. 1. Since the majority of the wafer is unpumped and the active or gain mode is strongly absorbing at any wavelength where there would be sufficient gain for lasing, lasing can only occur when light is coupled into the passive ARROW mode for low loss propagation across the wafer to the other end facet 112 to complete the cavity. This coupling can only occur when the interference angle between the two pump beams is

$$\theta = \sin^{-1} \left[ \frac{\lambda_{\text{pump}} (n_{\text{eff}1} - n_{\text{eff}2})}{2 \lambda_{\text{lase}}} \right] \quad (7)$$

as described earlier. In addition, with co-directional coupling, the grating strength and the grating interaction length play a significant role in the device performance.

The pump beams were focused onto the ARROW structure with a combination of spherical and cylindrical optics 113 to provide a thin pencil of illumination roughly 100  $\mu\text{m}$  wide and of arbitrary length. This pumping width is the only lateral confinement in the experiment, and is chosen to be wide enough such that any round trip lateral diffraction losses in the several millimeter long ARROW mode propagation across the unpumped region to the end mirror and back will not pose a severe problem.

Quantities varied in the experiment were the incident angles  $\pm \theta$  of the pump beams, the pump intensity (which can affect both the gain level and the grating strength), and interaction length  $L$ . The output from the sample was measured through the end facet 112 at the unpumped end by imaging the rear facet through a lens 115 onto a detector 116. In detector 116 a 1 cm thickness of silicon was used to filter out any residual 1.06  $\mu\text{m}$  pump light. Quantities monitored included the output power, the output near field, and crude measures of the output spectrum. Lasing in accordance with the present invention was confirmed.

Another example of the application of grating-coupled modes in the ARROW geometry is shown in FIG. 2. In FIG. 2 an ARROW guide is fabricated in the InP/InGaAsP materials system with two different pitch gratings along the length of the upper reflector layer to provide a wavelength demultiplexing photodetector device. The structure shown could appear in any portion of a larger wafer, or as a single discrete device in itself. In this structure light of the selected wavelength is directionally coupled with a grating in the manner described earlier, either co-directionally or contra-directionally, into the reflector layer where it is absorbed. With the doping as shown, the reflector layer is depleted through reverse biasing of a p-n junction. As a result, each section of the device operates as a wavelength-selective photodetector with the free carriers generated by absorption being swept across the depletion region to provide a photocurrent.

The principal advantage of the device shown in FIG. 2 is the integratable nature of this demultiplexer. As mentioned earlier, this is a true planar technology approach and the wavelength-selective photodetecting regions may have a layer structure identical to that of the purely passive waveguiding regions apart from the grating which couples the modes. This makes it possible to place several detectors of this sort in a serial fashion along a waveguide with different pitch gratings for coupling into the absorbing upper reflector layer. Since the different pitch gratings result in a different wavelength satisfying the phasematching requirement, each wavelength will pass over the detectors until reaching the one with the pitch appropriate for its coupling into the reflector layer and hence detection. The invention is thus suitable for making a compact, monolithic wavelength division demultiplexing photodetector.

In FIG. 2, a demultiplexing photodetector device is shown with a first wavelength selective detector in region 251 and a second wavelength-selective detector in region 252. The wavelength detector in region 251 is designed for operation around 1.540  $\mu\text{m}$  whereas the detector in region 252 is designed for operation at 1.545  $\mu\text{m}$ . The waveguiding layer 202 throughout is fabricated from InP which has an index of 3.1654 at these wavelengths, and the reflector layers 203 and 205 are fabricated of InGaAsP lattice matched to InP with a composition such as to give a luminescence peak at 1.51  $\mu\text{m}$ . Accordingly, the reflector layers 203 and 205 have an absorption at the operating wavelengths in the range of about 100  $\text{cm}^{-1}$ . The index of refraction of reflector layer 203 is 3.5450 at the operating wavelengths. As shown in FIG.2, a grating has been etched in each of the two regions on the upper surface of the InP separation layer 204 prior to the growth of the quaternary upper reflector layer 205. The dimension for the waveguiding layer 202 is 5  $\mu\text{m}$ , since this permits a large mode suitable for good coupling to external elements and also reduces ARROW radiation loss to a negligible level. The lower reflector layer 205 is chosen to have a thickness of 0.25  $\mu\text{m}$ , and the InP separation layer 204 between the two reflector layers is 2.5  $\mu\text{m}$  thick. Each grating has a peak to peak depth of 1000  $\text{\AA}$ , and the remaining undisturbed thickness of the quaternary upper reflector layer 205 is 0.3  $\mu\text{m}$ . These dimensions are chosen as a good compromise between exceptionally low loss propagation of the ARROW mode and a good coupling constant through the etched grating into the conventionally guided reflector layer. Contra-directional coupling is chosen in the device shown in FIG. 2 since this gives a high degree of spectral selectivity in a short distance due to the high pitch grating. In addition, it has no additional losses due to coupling into other radiation modes which may happen in some co-directional geometries.

The modal properties and grating coupling have been numerically evaluated for this geometry and yield the following values at the detected wavelength. The effective index of the ARROW mode is 3.161954, while the effective index of the conventional mode in the upper reflector layer, including the presence of the grating, is 3.35943. The coupling constant for the contra-directional coupling between these two modes is  $32 \text{ cm}^{-1}$ . The grating pitch for grating 211 in region 251 is given by

$$\Lambda_{g1} = \frac{1.540}{(3.3594 + 3.16195)} = 0.2361 \mu\text{m} \quad (8)$$

5

The grating pitch for grating 212 in region 252 is given by

10

$$\Lambda_{g2} = \frac{1.545}{(3.3594 + 3.16195)} = 0.2369 \mu\text{m} \quad (9)$$

Each grating is produced with holographically exposed resist and wet chemical etching, or directly written into resist with an electron beam. The loss of the conventionally guided reflector layer mode will be 37 cm<sup>-1</sup> assuming a bulk loss in this layer of 50 cm<sup>-1</sup>.

To complete the device as a properly biased demultiplexer, the material is initially grown on a p+ InP substrate 206. The lower reflector layer 205, the separation layer 204, and the upper reflector layer 203 are grown as p-, and the InP waveguiding layer 202 is grown as n-. The photodetector in each of the region 251 and 252 is defined by diffusing Zn down through the upper reflector layer everywhere except where the photodetector will be placed. This gives an isolated pocket of n type InP waveguiding layer, which should be placed roughly above the grating used for coupling. This area of n- material should extend somewhat longer than the length of the grating as shown in FIG. 2, since energy will be coupled into a reverse propagating mode in the reflector layer with an absorption length as mentioned above of 1/37 cm. With a grating of about 50 μm, about 300 μm of undisturbed reflector layer 203 should be present in each of the photodetecting regions.

To provide lateral guiding, a ridge can be etched onto the surface of the upper InP waveguiding layer with a depth of about 0.5 μm. A layer 207 of SiO<sub>2</sub> is deposited on the surface with a window opened on top of the ridge through conventional photolithographic exposure and etching techniques to provide an n contact to the structure through deposited metallic contacts 208 and 209. A p contact is provided through a metallic contact 210 deposited on the bottom of the p+ InP substrate 206. A positive voltage is then applied to terminals 208 and 209 with respect to terminal 210, and the reverse biased p-n junction establishes a depletion layer into the upper reflector layer 203, thus providing photodetection in the manner described.

### 35 Claims

1. An optical device including an anti-resonant reflecting optical waveguide structure comprising a first optical waveguide layer (102) separated from a substrate (106) by a reflective structure comprising a comparatively thin second layer (103), of material having a refractive index greater than that of the material of said first layer and that of the immediately underlying material, the thickness of the second layer being such as to provide substantial confinement of an optical guided mode in the first layer by anti-resonant reflection.

#### CHARACTERISED BY

- diffraction means (120,211) arranged to provide coupling between said optical guided mode in the first layer and an optical guide mode in the second layer having a different mode effective index  $n_{\text{eff}}$  from that of said optical guided mode in the first layer.

2. An optical device as defined in claim 1 wherein said reflective structure includes a third layer (104) and a comparatively thin fourth layer (105), said fourth layer (105) being separated from said second layer (103) by said third layer (104), wherein said third layer is of a material having an index of refraction substantially equal to that of said first layer, and said fourth layer being of a material having an index of refraction greater than that of said first layer, the thickness of the third and fourth layers being such as to provide further substantial confinement of said optical guided mode in the first layer by anti-resonant reflection.

55

3. An optical device as defined in claim 1 or claim 2, wherein said diffraction means comprises a periodic variation (120) in refractive index forming a grating.

4. An optical device as defined in claim 2 wherein said second layer is of a laser active material and includes means (113,114) for pumping said layer in the region occupied by said grating to generate light in said guided mode in the second layer, and reflective means (111,112) defining an optical resonant cavity for said generated light.
5. An optical device as defined in claim 4 wherein said means for pumping includes a source (113,114) of coherent light having a wavelength which is absorbed in the material of said second layer.
6. An optical device as defined in claim 5 wherein said source of coherent light is arranged to produce two interfering beams which, when absorbed in said second layer produce said periodic variation (120) in refractive index.
7. An optical device as defined in any of claims 1 to 3 wherein said second layer in the vicinity of said diffractive means is photoconductive at said selected wavelength.
8. An optical device as defined in any of claims 1 to 3 wherein the device further includes a p-n junction in the vicinity of said diffractive means.
9. An optical device as defined in claim 7 or 8 wherein the device further includes at least a second diffractive means (212) separated from said first diffractive means (211) in the direction of propagation of light in the first layer (202), said first and second diffractive means having different pitches so as to be effective to couple components of said light in the first layer having different respective wavelengths in to the second layer.

#### 25 Patentansprüche

1. Optische Einrichtung mit einer antiresonant reflektierenden optischen Wellenleiterstruktur, die eine erste optische Wellenleiterschicht (102) enthält, welche von einem Substrat (106) durch eine reflektierende Struktur mit einer vergleichsweise dünnen zweiten Schicht (103) aus einem Material mit einem Brechungsindex, der größer ist als der des Materials der ersten Schicht und als der des unmittelbar darunterliegenden Materials, getrennt ist, wobei die Dicke der zweiten Schicht ausgebildet ist, um wesentlichen Einschluß einer optisch geführten Mode in der ersten Schicht durch antiresonante Reflexion bereitzustellen, gekennzeichnet durch eine Beugungseinrichtung (120, 211), welche die Kopplung zwischen der optisch geführten Mode in der ersten Schicht und einer optisch geführten Mode in der zweiten Schicht, die einen von dem der optisch geführten Mode in der ersten Schicht verschiedenen effektiven Modenbrechungsindex  $n_{eff}$  hat, bereitstellen kann.
2. Optische Einrichtung nach Anspruch 1, dadurch gekennzeichnet, daß die reflektierende Struktur eine dritte Schicht (104) und eine vergleichsweise dünne vierte Schicht (105) enthält, wobei die vierte Schicht (105) von der zweiten Schicht (103) durch die dritte Schicht (104) getrennt ist, worin die dritte Schicht aus einem Material mit einem Brechungsindex besteht, der im wesentlichen gleich dem der ersten Schicht ist, und die vierte Schicht aus einem Material mit einem Brechungsindex besteht, der größer als der der ersten Schicht ist, wobei die Dicke der dritten und vierten Schichten ausgebildet sind, um weiteren wesentlichen Einschluß der optisch geführten Mode in der ersten Schicht durch antiresonante Reflexion bereitzustellen.
3. Optische Einrichtung nach Anspruch 1 oder 2, dadurch gekennzeichnet, daß die Beugungseinrichtung eine periodische Veränderung (120) des Brechungsindex enthält, die ein Gitter bildet.
4. Optische Einrichtung nach Anspruch 2, dadurch gekennzeichnet, daß die zweite Schicht aus einem laseraktiven Material besteht und eine Einrichtung (113, 114) zum Pumpen der Schicht in dem durch das Gitter besetzten Bereich enthält, um Licht in der geführten

Mode in der zweiten Schicht zu erzeugen und reflexive Einrichtungen (111, 112) enthält, die eine resonante optische Kavität für das erzeugte Licht definieren.

5. Optische Einrichtungen nach Anspruch 4,  
dadurch gekennzeichnet,  
daß die Einrichtung zum Pumpen eine Quelle (113, 114) kohärenten Lichtes mit einer Wellenlänge enthält, die in dem Material der zweiten Schicht absorbiert wird.
6. Optische Einrichtungen nach Anspruch 5,  
dadurch gekennzeichnet,  
daß die Quelle des kohärenten Lichtes zwei interferierende Strahlen erzeugen kann, die, wenn sie absorbiert werden, in der zweiten Schicht die periodische Veränderung (120) des Brechungsindex herstellen.
7. Optische Einrichtung nach einem der vorstehenden Ansprüche von 1 bis 3,  
dadurch gekennzeichnet,  
daß die zweite Schicht in der Nähe der Beugungseinrichtung bei der ausgewählten Wellenlänge photoleitend ist.
8. Optische Einrichtungen nach einem der Ansprüche von 1 bis 3,  
dadurch gekennzeichnet,  
daß die Einrichtung weiterhin einen pn-Übergang in der Nähe der Beugungseinrichtung erhält.
9. Optische Einrichtung nach Anspruch 7 oder 8,  
dadurch gekennzeichnet,  
daß die Einrichtung weiter wenigstens eine zweite Beugungseinrichtung (212) enthält, die von der ersten Beugungseinrichtung (211) in Richtung der Ausbreitung des Lichtes in der ersten Schicht (202) beabstandet ist, wobei die erste und die zweite Beugungseinrichtung verschiedene Gitterkonstanten haben, um Komponenten des Lichtes in der ersten Schicht mit jeweils verschiedenen Wellenlängen wirksam in die zweite Schicht zu koppeln.

#### Revendications

1. Un dispositif optique comprenant une structure de guide d'ondes optique à réflexion antirésonnante, comportant une première couche de guide d'ondes optique (102) séparée d'un substrat (106) par une structure réfléchissante qui comporte une seconde couche (103), relativement mince, d'un matériau ayant un indice de réfraction supérieur à celui du matériau de la première couche et à celui du matériau immédiatement sous-jacent, l'épaisseur de la seconde couche étant telle qu'elle assure un confinement notable d'un mode optique guidé dans la première couche, par réflexion antirésonnante,  
**CARACTERISE PAR**  
des moyens de diffraction (120, 211), conçus de façon à établir un couplage entre le mode optique guidé dans la première couche et un mode optique guidé dans la seconde couche, ayant un indice effectif de mode,  $n_{\text{eff}}$ , différent de celui du mode optique guidé dans la première couche.
2. Un dispositif optique selon la revendication 1, dans lequel la structure réfléchissante comprend une troisième couche (104) et une quatrième couche (105) relativement mince, cette quatrième couche (105) étant séparée de la seconde couche (103) par la troisième couche (104), et dans lequel la troisième couche est constituée par un matériau ayant un indice de réfraction pratiquement égal à celui de la première couche, et la quatrième couche est constituée par un matériau ayant un indice de réfraction supérieur à celui de la première couche, l'épaisseur des troisième et quatrième couches étant telle qu'elle assure un confinement notable supplémentaire du mode optique guidé dans la première couche, par réflexion antirésonnante.
3. Un dispositif optique selon la revendication 1 ou la revendication 2, dans lequel les moyens de diffraction sont constitués par une variation périodique (120) de l'indice de réfraction formant un réseau de diffraction.
4. Un dispositif optique selon la revendication 2, dans lequel la seconde couche consiste en un matériau

actif en ce qui concerne l'émission laser, et elle comprend des moyens (113, 114) pour le pompage de cette couche dans la région occupée par le réseau de diffraction, de façon à produire de la lumière dans le mode guidé précité dans la seconde couche, et des moyens de réflexion (111, 112) définissant une cavité optique résonnante pour la lumière qui est produite.

5

5. Un dispositif optique selon la revendication 4, dans lequel les moyens de pompage comprennent une source (113, 114) de lumière cohérente ayant une longueur d'onde qui est absorbée dans le matériau de la seconde couche.

10

6. Un dispositif optique selon la revendication 5, dans lequel la source de lumière cohérente est conçue pour produire deux faisceaux dans une condition d'interférence, qui produisent la variation périodique (120) de l'indice de réfraction lorsqu'ils sont absorbés dans la seconde couche.

15

7. Un dispositif optique selon l'une quelconque des revendications 1 à 3, dans lequel la seconde couche est photoconductrice, à la longueur d'onde sélectionnée, au voisinage des moyens de diffraction.

8. Un dispositif optique selon l'une quelconque des revendications 1 à 3, dans lequel le dispositif comprend en outre une jonction p-n au voisinage des moyens de diffraction.

20

9. Un dispositif optique selon la revendication 7 ou 8, dans lequel le dispositif comprend en outre au moins des seconds moyens de diffraction (212), séparés des premiers moyens de diffraction (211) dans la direction de propagation de la lumière dans la première couche (202), les premiers et seconds moyens de diffraction ayant des pas différents, de façon à coupler vers la seconde couche des composantes de la lumière dans la première couche qui ont des longueurs d'onde respectives différentes.

25

30

35

40

45

50

55

FIG. 1

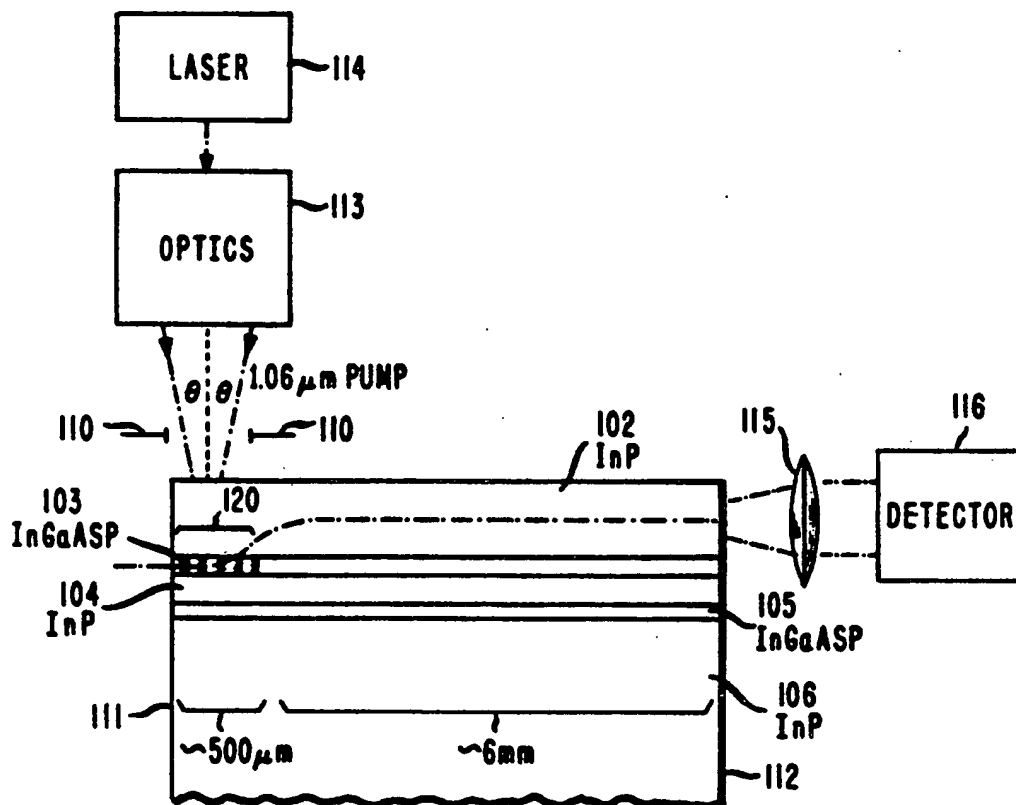
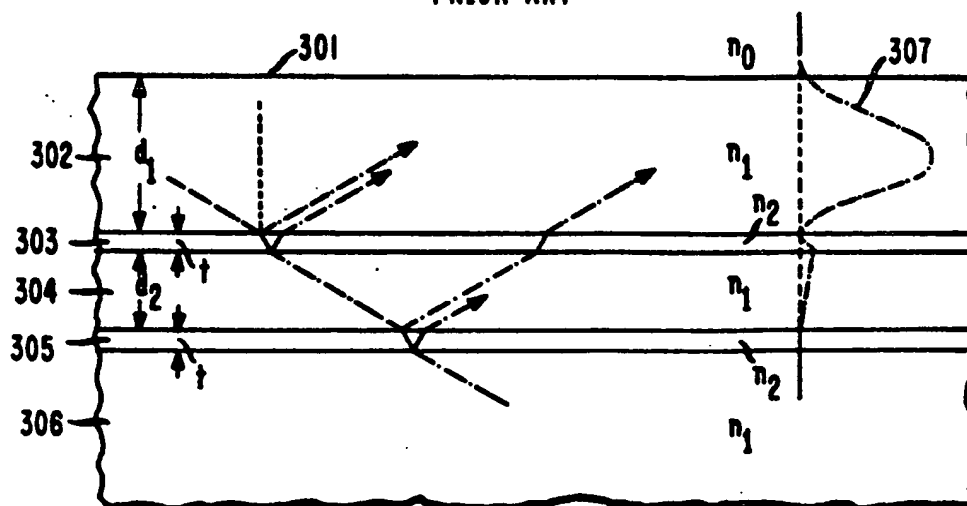


FIG. 3  
PRIOR ART



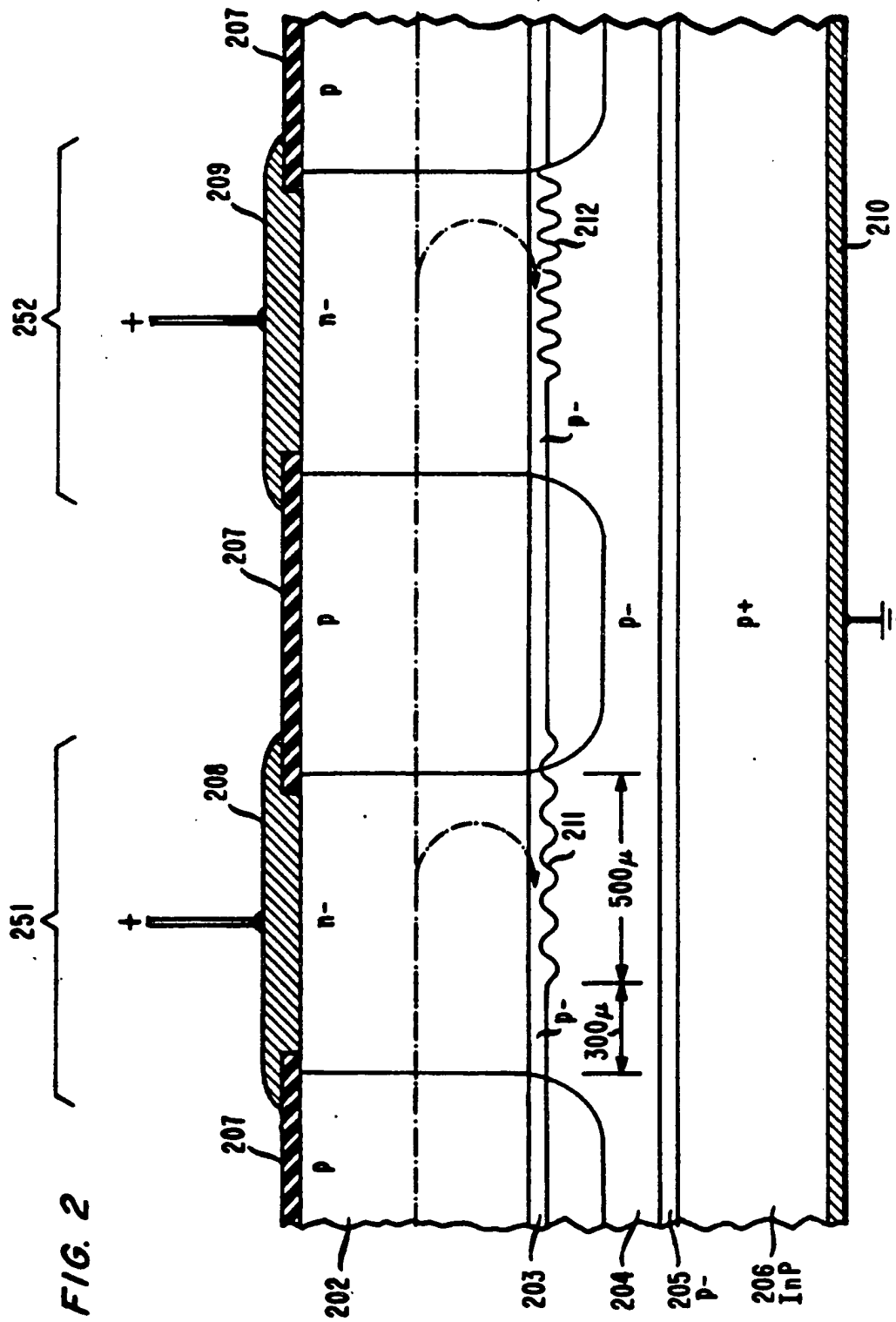


FIG. 4

CO-DIRECTIONAL

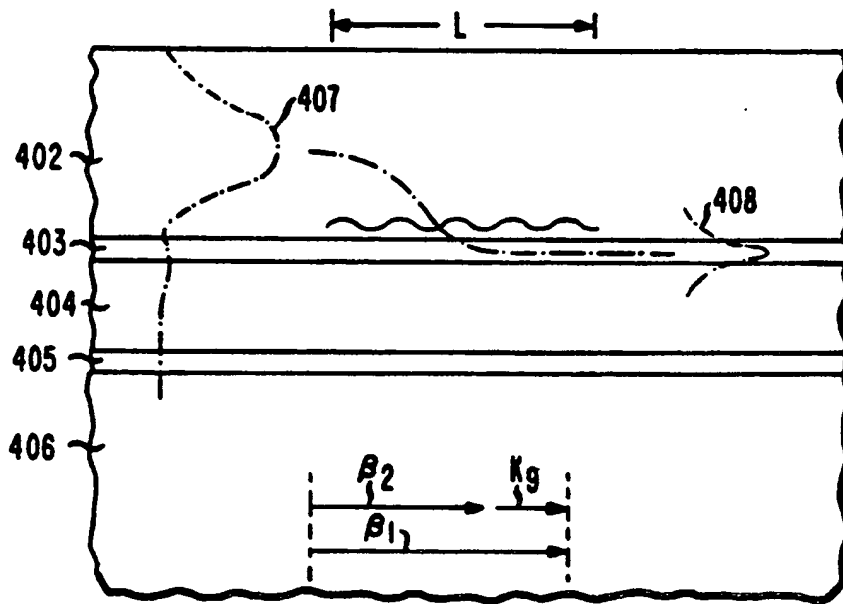


FIG. 5

CONTRA-DIRECTIONAL

